

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC4	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	2	2	8	1	4	4	8	04/1942	Mathey			
	3	3	3	4	6	2	9	08/1967	Cohn			
	3	5	4	0	4	3	1	11/1970	Mobin-Uddin			
	3	8	6	8	9	5	6	03/1975	Alfidi et al.			
	3	9	5	2	7	4	7	04/1976	Kimmel, Jr.			
	3	9	9	6	9	3	8	12/1976	Clark, III			
	4	4	2	5	9	0	8	01/1984	Simon			
	4	4	9	4	5	3	1	01/1985	Gianturco			
	4	5	0	3	5	6	9	03/1985	Dotter			
VB	4	5	5	3	5	4	5	11/1985	Maass et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES. NO	
VB	0 380 666	04/89	Europe				
	0 547 530	06/93	Europe				
	2 020 557	11/79	GB				
	2 527 301	11/83	France				
	2 641 692	07/90	France				
	93/10714	06/93	WIPO				
	94/05372	03/94	PCT				
VB	DD 233 303	02/86	East Germany				
VB	WO 96/01591	01/25/96	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB		Castaneda, et al., Migration of a Kimray-Greenfield Filter to the Right Ventricle, <u>Radiology</u> , December 1983, pp. 687-690
		Allison, et al., article entitled: Therapeutic Embolization, <u>British Journal of Hospital Medicine</u> , December 1978
		Palestrant, et al. article entitled: Comparative <i>In Vitro</i> Evaluation of the Nitinol Inferior Vena Cava Filter, pp. 351-355
VB		Duerig, et al., Superelastic Nitinol for Medical Devices, <u>Medical Plastics and Biomaterials</u> , March/April 1997, pp. 30-43

EXAMINER

DATE CONSIDERED

05/06/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC4	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4	5	7	2	1	8	6	02/1986	Gould et al.			
	4	6	1	9	2	4	6	10/1986	Molgaard-Nielsen et al.			
	4	6	4	3	1	8	4	02/1987	Mobin-Uddin			
	4	6	4	9	9	2	2	03/1987	Wiktor			
	4	6	5	0	4	6	6	03/1987	Luther			
	4	6	5	5	7	7	1	04/1987	Wallsten			
	4	6	5	5	7	7	2	04/1998	De Liotta et al.			
	4	6	6	5	9	0	6	05/1987	Jervis			
	4	6	8	1	1	1	0	07/1987	Wiktor			
	4	6	9	0	6	8	4	09/1987	McGreevy et al.			
	4	6	9	9	6	1	1	10/1987	Bowden			
	4	7	2	3	5	4	9	02/1988	Wholey et al.			
	4	7	2	7	8	7	3	03/1988	Mobin-Uddin			
	4	7	3	2	1	5	2	03/1988	Wallsten et al.			
VB	4	7	3	3	6	6	5	03/1988	Palmaz			
VB	4	7	9	0	8	1	2	12/1988	Hawkins, Jr. et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB		Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", <u>Radiology</u> 147:259-260, 4/83
		Schatz, et al., "Balloon-expandable intracoronary stents in the adult dog", <u>Circulation</u> , Vol. 76, No. 2, 8/87:450-457
		Palmaz, et al. "Atherosclerotic Rabbit Aortas: Expandable Intraluminal Grafting", <u>Radiology</u> 1986; 160:723-726
		Rosseau et al., "Self-expanding Endovascular Prosthesis: An Experimental Study", <u>Radiology</u> 1987; 164:709-714
VB		Duprat, Jr. et al., "Self-expanding Metallic Stents for Small Vessels: An Experimental Study", <u>Radiology</u> 1987; 162:469-472
VB		Sweden Now, "When hope is all in vein", 1988

EXAMINER

DATE CONSIDERED

05/06/2005

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC4	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4	7	9	3	3	4	8	12/1988	Palmaz			
	4	7	9	4	9	2	8	01/1989	Kletschka			
	4	8	0	0	8	8	2	01/1989	Gianturco			
	4	8	1	7	6	0	0	04/1989	Herms et al.			
	4	8	3	0	0	0	3	05/1989	Wolff et al.			
	4	8	4	8	3	4	3	07/1989	Wallsten et al.			
	4	8	5	6	5	1	6	08/1989	Hillstead			
	4	8	7	3	9	7	8	10/1989	Ginsburg			
	4	8	8	6	0	6	2	12/1989	Wiktor			
	4	9	2	1	4	8	4	05/1990	Hillstead			
	4	9	2	6	8	5	8	05/1990	Gifford, III et al.			
	4	9	3	5	0	6	8	06/1990	Duerig			
	4	9	6	9	8	9	1	11/1990	Gewertz			
	4	9	8	4	5	8	1	01/1991	Stice			
	4	9	9	0	1	5	6	02/1991	Lefebvre			
	4	9	9	1	6	0	2	02/1991	Amplatz et al.			
	4	9	9	8	5	3	9	03/1991	Delsanti			
	5	0	0	2	5	6	0	03/1991	Machold et al.			
	5	0	1	6	8	0	8	05/1991	Heil, Jr. et al.			
	5	0	2	6	3	7	7	06/1991	Burton et al.			
	5	0	3	4	0	0	1	07/1991	Garrison et al.			
	5	0	4	1	0	9	3	08/1991	Chu			
	5	0	5	3	0	0	8	10/1991	Bajaj			
VB	5	0	5	9	2	0	5	10/1991	El-Nounou et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB	Dotter, "Transluminally-placed Coilspring Endarterial Tube Grafts, Long-term Patency in Canine Popliteal Artery", Investigative Radiology, Vol. 4, Sept.-Oct., 1969:329-332
EXAMINER	DATE CONSIDERED 05/06/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC4	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	5	0	6	4	4	3	5	11/12/91	Porter			
	5	0	6	7	4	8	9	11/1991	Lind			
	5	0	6	7	9	5	7	11/26/91	Jervis			
	5	0	9	8	7	7	6	03/1992	Kobayashi et al.			
	5	1	0	8	4	1	8	04/1992	Lefebvre			
	5	1	0	8	4	1	9	04/1992	Reger et al.			
	5	1	3	3	7	3	3	07/1992	Rasmussen et al.			
	5	1	5	2	7	7	7	10/1992	Goldberg et al.			
	5	1	9	0	5	4	6	03/02/93	Jervis			
	5	1	9	2	3	0	1	03/09/93	Kamiya et al.			
	5	2	2	1	2	6	1	06/1993	Termin et al.			
	5	2	4	6	4	4	5	09/21/93	Yachia et al.			
	5	2	5	6	1	4	6	10/1993	Ensminger et al.			
	5	3	3	2	2	5	9	01/1995	Phillips et al.			
	5	3	3	4	2	1	7	08/02/94	Das			
	5	3	5	4	3	1	0	10/1994	Garnic et al.			
	5	3	8	3	8	9	2	01/1995	Cardon et al.			
	5	4	1	7	7	0	8	05/1995	Hall et al.			
	5	4	2	1	9	5	5	06/1995	Lau et al.			
	5	5	1	4	1	1	5	05/1996	Frantzen et al.			
	5	5	2	7	3	3	8	06/18/96	Purdy			
	5	5	9	7	3	7	8	01/28/97	Jervis			
✓	5	6	6	2	6	7	1	09/1997	Barbut et al.			
VB	5	6	9	0	6	7	1	11/25/97	McGurk et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
<i>VB</i>	05/06/05
<small>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC4	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Rudy Mazzocchi, et al.	
(Use several sheets if necessary)		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4	8	3	2	0	5	5	05/23/89	Palestrant			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
VB	DE 28 21 048 B1	11/08/79	Germany				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB		Search Report for counterpart International Application (PCT/US95/08613).

EXAMINER VB <i>[Signature]</i>	DATE CONSIDERED 05/06/05
-----------------------------------	-----------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

MVA1001USC4

10/051,591

APPLICANT

Rudy Mazzocchi et al.

FILING DATE

GROUP

January 18, 2002

3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
UB	5	8	1	4	0	6	4	9/29/98	Daniel			
UB	6	3	2	5	8	1	5	12/04/01	Kusleika			
UB	6	3	7	1	9	7	1	04/16/02	Tsugita et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
UB	WO 98/39053	9/11/98	PCT				
UB	WO 99/23976	5/20/99	PCT				
UB	WO 01/15629	3/08/01	PCT				
UB	WO 01/21100	3/29/01	PCT				
UB	EP 820729	1/28/98	EPO				

RECEIVED

SEP 10 2002

TECHNOLOGY CENTER 3700

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


UB		Patent Application Publication No. 2001/0012951 A1, 08/09/2001, Bates et al.
		Patent Application Publication No. 2001/0041908 A1, 11/15/2001, Levinson et al.
		Claims for S/N 08/748,066, filed 12 November 1996 (Ref. No. MVA1001USC1)
		Claims for S/N 10/051,565, filed 18 January 2002 (Ref. No. MVA1001USC2)
		Claims for S/N 10/051,492, filed 18 January 2002 (Ref. No. MVA1001USC3)
		Claims for S/N 10/051,537, filed 18 January 2002 (Ref. No. MVA1001USC5)
		Claims for S/N 10/051,648, filed 18 January 2002 (Ref. No. MVA1001USC6)
		Claims for S/N 10/060,272, filed 30 January 2002 (Ref. No. MVA1001USC7)
		Claims for S/N 10/060,271, filed 30 January 2002 (Ref. No. MVA1002USC1)
		Claims for S/N 09/824,910, filed 3 April 2001 (Ref. No. MVA1004USC1)
		Claims for S/N 10/060,854, filed 30 January 2002 (Ref. No. MVA1004USC2)
		Serial No. 10/093,572, filed 8 March 2002 (Ref. No. MVA1003US)
		Serial No. 10/132,562, filed 25 April 2002 (Ref. No. MVA1005US)
		Serial No. 10/194,355, filed July 12, 2002 (Ref. No. MVA1007US)
		Serial No. 10/194,734, filed July 12, 2002 (Ref. No. MVA1008US)
		Serial No. 10/096,624, filed 12 March 2002 (Ref. No. F&B file)
UB		English language abstract for DE2821048

EXAMINER

mhu

DATE CONSIDERED

05/06/2005

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET: MVA1001USC4	SERIAL NO. 10/051,591
 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANTS Rudy Mazzocchi et al.	
		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	5	0	7	1	4	0	7	12/10/91	Termin et al.			
VB	5	0	9	8	4	4	1	03/24/92	Wechler			
VB	5	3	2	9	9	4	2	07/19/94	Gunther et al.			

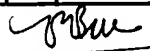
## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
VB	EP 0 391 384 B1	10/10/90	EPO				
VB	JP 44 20599	09/04/69	Japan				
VB	JP 54 150 888	11/27/79	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

VB			International Search Report for Counterpart Canadian Application No. 2,194,671 (1 page).
VB			Partial English language translation of JP 44 20599 (1 page).

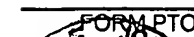
EXAMINER



DATE CONSIDERED

05/06/2005

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	MVA1001USC4	10/051,591
	APPLICANTS	
	Rudy Mazzocchi et al.	
	FILING DATE	GROUP
	January 18, 2002	3731

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
VB	DE 31 07 392 A1	09/16/82	Germany				X

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

[illegible]

**EXAMINER**

Corbin

DATE CONSIDERED

05/06/2005

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVA1001USC4	SERIAL NO. 10/051,591
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		APPLICANT Rudy Mazzocchi et al.	
		FILING DATE January 18, 2002	GROUP 3731

## U.S. PATENT DOCUMENTS

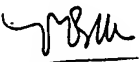
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
1/2	FR 2,500,756	Sept. 3, 1982	France				X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

1/2		Search Report for Counterpart European Application EP 0 207 6318
		English Language Abstract for FR 2,500,756

EXAMINER 	DATE CONSIDERED 05/06/05
---	-----------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

MVA1001USC4

10/051,591

APPLICANTS

Rudy Mazzocchi et al.

FILING DATE

GROUP

January 18, 2002

3731

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
VB	4	6	1	1	5	9	4	09/16/86	Grayhack et al.			
	4	6	3	1	0	5	2	12/23/86	Kensey			
	4	6	6	9	4	6	9	06/02/87	Gifford III et al.			
	4	7	2	8	3	1	9	03/01/98	Masch			
	4	7	9	0	8	1	3	12/13/88	Kensey			
	4	8	0	7	6	2	6	02/28/89	McGirr			
	4	8	4	2	5	7	9	06/27/89	Shiber			
	4	9	2	7	4	2	6	05/22/90	Dretler			
	4	9	5	7	4	8	2	09/18/90	Shiber			
	5	0	1	1	4	8	8	04/30/91	Ginsburg			
	5	1	0	0	4	2	3	03/31/92	Fearnott			
	5	1	0	0	4	2	5	03/31/92	Fischell et al.			
	5	1	0	2	4	1	5	04/07/92	Guenther et al.			
	5	1	3	5	5	3	1	08/04/92	Shiber			
	5	1	6	0	3	4	2	11/03/92	Reger et al.			
	5	1	9	2	2	8	6	03/09/93	Phan et al.			
	5	1	9	5	9	5	5	03/23/93	Don Michael			
	5	3	8	2	2	5	9	01/17/95	Phelps			
	5	3	8	3	8	8	7	01/24/95	Nadal			
	5	4	1	5	6	3	0	05/16/95	Gory et al.			
	5	4	2	3	7	4	2	06/13/95	Theron			
	5	4	6	2	5	2	9	10/31/95	Simpson			
VB	5	5	4	0	7	0	7	07/30/96	Ressemann et al.			
VB	5	5	5	6	3	8	9	09/17/96	Liprie			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
VB	WO 92/15357	09/17/92	WIPO				
J	WO 93/12723	07/08/93	WIPO				
VB	WO 94/06372	03/31/94	WIPO				
VB	WO 96/01599	01/25/96	WIPO				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>12</u>			English language translation of DE-A-3107392 (15 pages).

EXAMINER

msu

DATE CONSIDERED

05/06/2005

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.